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เรื่อง ประชาสัมพันธ์ทุน The ASEAN-UK GTF Nature-based Solutions for Climate Mitigation

เรียน คณบดี / ผู้อำนวยการ

ตามที่ UK PACT (Partnering for Accelerated Climate Transitions) เปิดรับข้อเสนอโครงการ The ASEAN-UK GTF Nature-based Solutions for Climate Mitigation เพื่อสนับสนุนโครงการวิจัยที่แก้ไขปัญหาด้านสภาพภูมิอากาศ อาจารย์/นักวิจัย ที่สนใจสมัครขอรับทุนโปรดศึกษารายละเอียดของแต่ละทุนเพิ่มเติมตามลิงก์ที่แนบมาด้วยนี้ https://www.ukpact.co.uk/asean-uk-gtf-call-for-proposals-pillar-5?utm_campaign=Country และส่งข้อเสนอโครงการผ่านระบบออนไลน์ภายในวันที่ 3 กรกฎาคม 2568 ทั้งนี้ โครงการที่เสนอขอทุนให้ปฏิบัติตามประกาศมหาวิทยาลัยมหิดลเรื่อง หลักเกณฑ์และอัตราเงินค่าธรรมเนียมพัฒนาการวิจัยของมหาวิทยาลัยและส่วนงาน ที่จัดเก็บจากโครงการวิจัยที่ได้รับเงินอุดหนุนจากแหล่งทุนภายนอกมหาวิทยาลัย พ.ศ. 2560 โดยระบุหมวดค่าธรรมเนียมอุดหนุนสถาบันในอัตราร้อยละ 10 และขอให้ดำเนินการตามที่ระบุในหนังสือชักชวนแนวปฏิบัติ เรื่องมาตรฐานการวิจัยของโครงการวิจัย

ในการนี้ กองบริหารงานวิจัย มหาวิทยาลัยมหิดล จึงขอแจ้งข่าวประกาศทุนมายังท่าน เพื่อโปรดประชาสัมพันธ์ ดังกล่าวให้บุคลากรในหน่วยงานของท่านทราบโดยทั่วกัน และขอให้อาจารย์/นักวิจัย โปรดส่งเอกสารข้อเสนอโครงการผ่านส่วนงานต้นสังกัดมายังกองบริหารงานวิจัยภายในวันที่ 26 มิถุนายน 2568 เพื่อขออนุมัติการจัดส่งข้อเสนอโครงการและตรวจสอบเอกสารก่อนจัดส่งไปยังแหล่งทุน ทั้งนี้ หากส่วนงานจัดส่งเอกสารมายังมหาวิทยาลัยหลังจากวันที่มหาวิทยาลัยกำหนด มหาวิทยาลัย ขอสงวนสิทธิ์ในการส่งข้อเสนอโครงการเพื่อสมัครรับทุนดังกล่าว

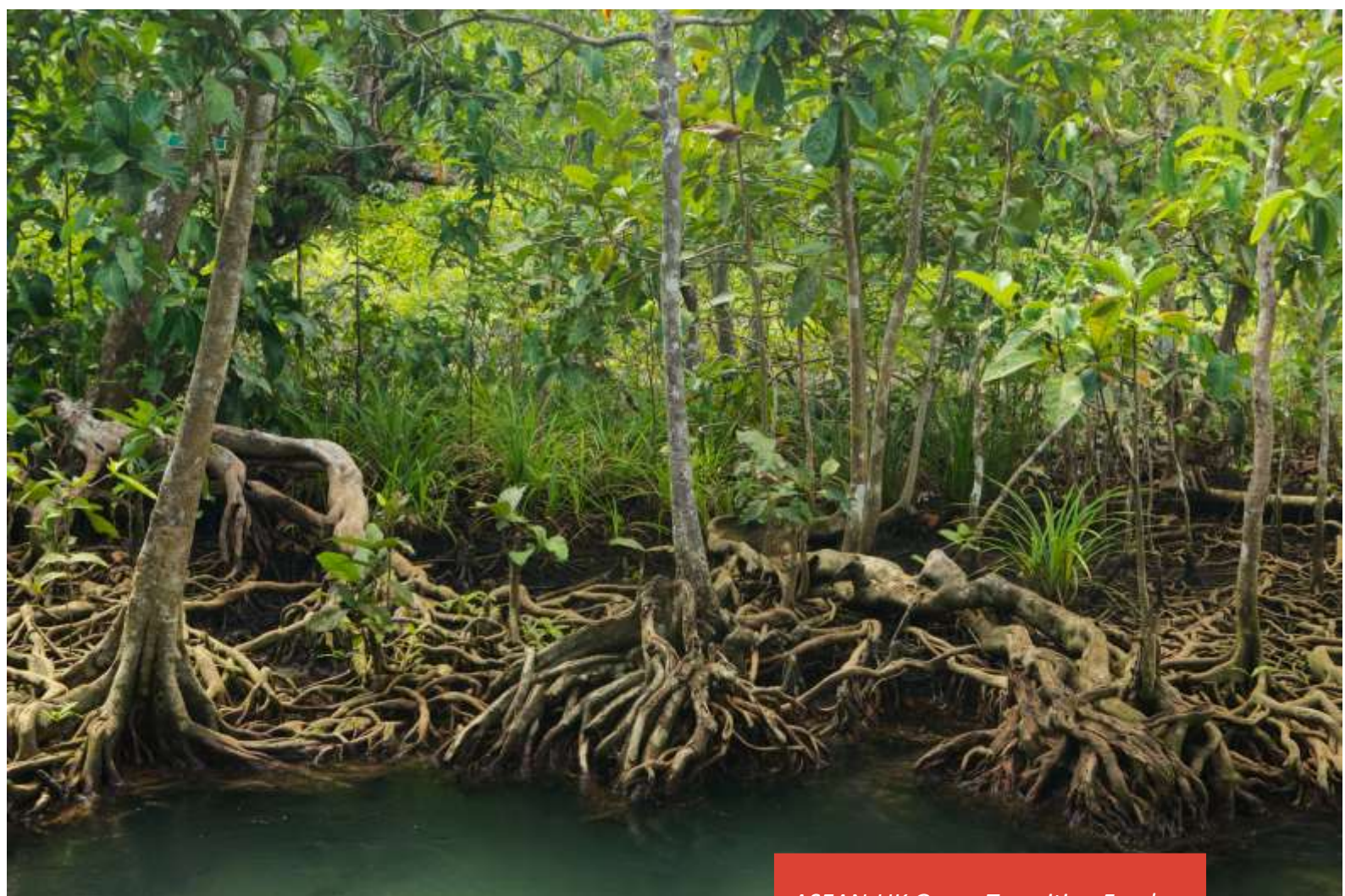
จึงเรียนมาเพื่อโปรดทราบและโปรดประชาสัมพันธ์ข่าวต่อไป จักเป็นพระคุณยิ่ง

(รองศาสตราจารย์ ดร.ยุศนัน วงศ์สวัสดิ์)

รองอธิการบดีฝ่ายวิจัย

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*ASEAN-UK Green Transition Fund
Technical Proposal template*

Project title:

[Publish Date]

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1. Consortium

Describe your consortium's configuration and specify each partner's involvement and roles. Please submit the proof of office legal registration and the letter of intent to form a consortium.

Partner name	Description of key roles and involvement within the consortium	Office Legal Registration	Additional observations
<i>(E.g. X Company Ltd)</i>	<i>(E.g. Consortium lead)</i>	<i>(E.g. Singapore city, Singapore)</i>	<i>(E.g. X company will provide international best practice while leading the day-to-day project management)</i>

2. Executive summary

Brief overview of the project, including its core objectives, significance, alignment with the ToR and expected outcomes. **(Max. 350 words)**

3. Work package

Insert the selected work package and pillar of your project. Example: *[Pillar I Climate Policy – Work Package I.3 NDC and Article 6]*. Should the applicant submit multiple bids responding to several work packages, please insert the details in this chapter and the VfM (Value for Money) chapter 14.

4. Background

Please provide a brief description of the project context, detail the specific problem(s) or barrier(s) the project addresses and mention how they relate with those defined in the terms of reference (ToR). **(Max. 300 words)**

5. Methodology

Description of the core approach that will be taken to meet project objectives. Please also list any relevant methodologies, tools, etc. that will be applied/used to achieve what the project aims to deliver. **(Max. 800 words)**

6. Theory of Change (ToC)

Please complete the separate ToC template for your project (PPT template) and paste an image of the diagram below. Ensure that you also submit the completed template along with your proposal.

ToC diagram:

7. Expected results

In the sections below, you must outline the results, outputs, intermediate outcomes, outcomes and impact expected from project implementation. These should align with the broader outcomes detailed in the Call for Proposals /Terms of Reference (ToR) and your project Theory of Change (ToC). All results should be measurable and time-bound. In the subsequent section, you will be asked to detail how you intend to monitor and measure progress towards achieving these results.

7.1. Impact

Impacts are the long-term, high-level and significant changes or goals to which your project is expected to contribute. These changes can be social, economic, environmental or institutional and reflect the broader, often transformative changes¹ of project delivery.

Describe the overall impact goal towards which your project will contribute. This should align with the broader impact goal outlined in the ToR.

Impact statement

Can it be measured
during project
implementation?
(Y/N)

¹ [The UK International Climate Finance KPI 15 Note Methodology \(ICF, 2023\)](#) define transformational change as “‘change that catalyses further changes’, enabling either a shift from one state to another or faster change”.

7.2. Outcomes and intermediate outcomes

7.2.1. Outcomes

Outcomes are the specific and measurable short- to medium-term changes in target systems, organisations, institutions, behaviours, conditions, capital flows, relationships, or arrangements in which project outputs are expected to result. Outcomes are not always delivered during the lifetime of a project, but evidence of progress towards them should be seen. Projects can have one or several outcomes. However, it is recommended that the projects do not have more than three outcomes.

Describe the outcomes the project aims to achieve. All outcomes should be linked to the project's impact, intermediate outcomes and outputs, and aligned with the outcomes outlined in the ToR.

Outcome number	Outcome statement	Deliverables and/or evidence	Target date of accomplishment
O1			
O2			
O3			

7.2.2. Intermediate outcomes

The jump from outputs to outcomes in capacity-building and policy change interventions can be significant. Intermediate outcomes can help track progress towards outcomes by providing interim indications of target stakeholders' initial uptake and application of project outputs. We most

commonly expect to see, measure, and report intermediate outcomes after an output has been delivered. They reflect the specific skills, knowledge, networks, and capacities stakeholders wish to build or enhance, as well as how they intend to act upon these.

Describe the intermediate outcomes the project aims to achieve. All intermediate outcomes should be linked to the project's impact, outcomes and outputs, and aligned with the outcomes outlined in the ToR.

Intermediate outcome number	Intermediate outcome statement	Deliverables and/or evidence	Target date of accomplishment
IO1			
IO2			
IO3			
...			

7.3. Outputs

Outputs are the products, deliverables or services resulting from project activities. Outputs are within the direct control of an intervention and are normally provided to the project's key counterparts or other project stakeholders. Outputs are the basis from which the desired change will occur, e.g., trainings delivered, policy recommendations produced, knowledge generated and shared, tools developed and piloted, etc.

Describe the outputs your project aims to achieve. Note that all outputs on ASEAN-UK GTF projects should be aligned with the work package outlined in the ToR and should be categorized using the four programme output types once relevant:

- Technical capacity to design and deliver equitable green transition policy and action strengthened within and across ASEAN
- Innovative models for climate action (including policy and novel technologies) developed and piloted within and across ASEAN
- Knowledge, evidence and learning generated and exchanged across stakeholders in ASEAN in support of improved climate action

- Effective partnerships established and strengthened to enable action-oriented convening and influencing on climate across ASEAN

Output number	Output name	Output type*	Target stakeholders	Output description	Deliverables and/or evidence	Target date of accomplishment
OU1						
OU2						
OU3						
OU4						
OU5						
...						

*Please refer to the Project ToC Form slide 10

7.4. Monitoring, learning and communications plan

7.4.1. Indicators

Please describe the indicators proposed to monitor and measure progress against the output, intermediate outcome, outcome and impact results you listed above. Indicators can be qualitative or quantitative metrics, and should be ‘SMART’ - specific, measurable, accurate, regular, and time-bound. The data under these performance indicators support project management, decision-making, and accountability to UK PACT, as well as communicating project progress and results to external parties and stakeholders. These indicators may be refined during the co-creation phase to ensure they align with the UK PACT results framework.

Each result listed above should have at least one associated indicator, with milestones and targets for delivery over implementation. One indicator may, however, contribute to measuring progress against more than one result. Please ensure that you have included any relevant UK PACT programme indicators prescribed in the following list. Please also consider all pertinent disaggregation, for example, by gender, age or stakeholder group.

UK PACT programme indicators:

- a. ICF KPI 15 – The extent to which contributes to likely Transformational Change
- b. ICF TA KPI 5 – Volume of emissions reductions avoided or supported by international climate finance technical assistance
- c. ICF KPI 11 and 12 – Volume of public and private finance mobilised for climate change purposes
- d. ICF KPI 2 – Number of people and social institutions with improved access to clean energy
- e. ICF KPI 7 – Installed capacity of clean energy
- f. ICF TA KPI 3 – Number of climate policies informed by international climate finance technical assistance
- g. ICF KPI 17 – Area under sustainable management practices

Note: for more information, you can visit [UK International Climate Finance results 2023 - GOV.UK \(www.gov.uk\)](https://www.gov.uk/government/publications/uk-international-climate-finance-results-2023)

Indicator table

Summary of relevant performance indicators						If applicable, contribution to specific ICF KPI number	Baseline	Target		
#	Project Indicator Name	Associated Result/s (e.g. I2, O3, IO1, OU4, etc.)	Means of verification <small>Note: Please make sure to include the units of measurement</small>	Disaggregation (if relevant)	Reporting Frequency			FY 25/26	FY 26/27	TOTAL
1										
2										
3										
4										
5										
6										

7.4.2. Monitoring approach

- a. Describe the project's monitoring approach, including internal monitoring processes and systems (**Max. 200 words**)

- b. Describe any efforts for monitoring the context in support of achieving the project's results (**Max. 200 words**)

7.4.3. Learning and communications approach

Briefly describe any planned learning and communication activities for this project. Please include initial objectives of these activities, target audiences and how these activities would increase your project's impact. **(Max. 300 words)**

Note: As part of these activities, applicants should plan to deliver at least one case study aligned with the UK PACT programme results framework. The case study concept note will be developed during the co-creation or inception stage.

8. Workplan and budget

Please detail your planned activities, their target completion dates and associated costs within the separate 'Budget and Workplan' template. The template can be found on the **Fund's webpage**.

9. ASEAN stakeholders

Context:

ASEAN Secretariat: The ASEAN Secretariat's basic function is to provide for greater efficiency in the coordination of ASEAN organs and for more effective implementation of ASEAN projects and activities (ASEAN Secretariat, 2024). The list of the Secretariat Division can be accessed [here](#).

ASEAN Sectoral Bodies: ASEAN cooperation is manifested in ASEAN Sectoral Ministerial Bodies (see Annexe 1 of the ASEAN Charter). ASEAN Senior Officials Meeting assists their Sectoral Ministerial Bodies, which meet periodically to provide strategic guidance in advancing ASEAN cooperation on their thematic areas of cooperation. They lead the technical discussion and implementation of their respective Plans of Action. They are chaired by an ASEAN Member State, with a tenure of a specific period depending on the agreement of the respective Body.

ASEAN entities: The entities associated with ASEAN are accredited organisations that abide by the purposes, principles, policies, guidelines and other decisions of ASEAN. Accredited entities are obliged to support ASEAN's objectives and purposes. These entities are listed under Annexe II of the ASEAN Charter (ASEAN Secretariat, 2024).

9.1. Key stakeholders

9.1.1. ASEAN Secretariat engagement plan

ASEAN SECRETARIAT DIVISION	TYPE OF PARTICIPATION IN PROJECT PLANNING	TYPE OF PARTICIPATION IN PROJECT IMPLEMENTATION	PLAN FOR MAINTAINING ASEC SUPPORT AS THE PROJECT PROGRESSES

9.1.2. ASEAN Working Groups engagement plan

ASEAN WORKING GROUPS	TYPE OF PARTICIPATION IN PROJECT PLANNING	TYPE OF PARTICIPATION IN PROJECT IMPLEMENTATION	PLAN FOR MAINTAINING ASEAN SPONSORING BODIES SUPPORT AS THE PROJECT PROGRESSES

9.1.3. Other Stakeholders' engagement plan (including entities associated with ASEAN)

STAKEHOLDER	TYPE OF PARTICIPATION IN PROJECT PLANNING	TYPE OF PARTICIPATION IN PROJECT IMPLEMENTATION	PLAN FOR MAINTAINING STAKEHOLDER SUPPORT AS THE PROJECT PROGRESSES

10. ASEAN priority

Show how the proposed project requires regional action; and/or prioritised by relevant ASEAN Strategic Documents stated in the ToR. This is essential if the project is to be considered as an ASEAN cooperation project. **(Max. 300 words)**

11. Inclusivity

11.1. Poverty alleviation

As an ODA programme, ASEAN-UK GTF's overall objective is to alleviate poverty and contribute to resilient economic development. Please describe how the outcomes of your project will contribute to these objectives. **(Max. 300 words).**

11.2. GEDSI empowerment or transformation

Describe the activities/measures that will be implemented by the project to promote GEDSI empowerment or transformation. **(Max. 300 words).** Please consider the GEDSI key concepts in the **Applicant Handbook**.

12. Additionality

The project must be able to demonstrate an activity that could define and fill the gap, in the gap identified in the ToR. To avoid duplication of program delivery and further catalyse a project coordination, the project must fill existing programmatic and action gap within the scoping process. Describe the additionality of the proposed project. **(Max. 300 words)**

13. Governance, knowledge, skills, experience, and team structure

13.1. Team

13.1.1. Organogram

Attach an organogram showing the project team, including positions, proportion of time allocated to the individual and the organisation each individual is representing. You can either include this as an image in this section or upload a separate version with your application.

Organogram:

13.2. Mandatory and relevant company experience

List and describe all relevant projects delivered by the company/institution. Please make sure to list the mandatory experience (a minimum size of GBP 500,000 in a 12-month duration)
(Max. 300 words)

Project: (E.g. XX)

Contract value: (E.g. GBP 512,000)

Duration: (E.g. 12 months)

Client: (E.g. ASEAN Secretariat)

Key summary project:

Project:

Contract value:

Duration:

Client:

Key summary project:

Project:

Contract value:

Duration:

Client:

Key summary project:

13.2.1. Team profile

Provide a bio (**Max. 100 words**) and attach the CV for each key member of the project team who will be involved in delivery of the project. Submission of complete CVs is a must. This must include responsibilities outlined for:

- Project management
- Technical leads
- Monitoring, Evaluation and Learning (MEL)
- Gender Equality, Disability and Social Inclusion (GEDSI)

Bios

13.3. Internal governance

Describe how the project will be governed internally, including all consortium members. **(Max. 300 words)**

14. Value for Money (VfM)

Value for Money (VfM): involves ensuring that inputs are of the right quality and obtained at a reasonable price, with appropriate and efficient resource allocation for deliverables and team. It also emphasises optimising the domestic context and adhering to best practices.

Consider the 4Es [approach](#) to maximise the Economy, Efficiency, and Effectiveness for each intervention. Should applicants submit multiple bids, applicants are welcome to set out potential cost efficiencies in this sub-chapter.

Describe how you will ensure items purchased or staff paid for by the project will represent good value for money, i.e., benchmarking fee rates, quotes, etc., and how project activities are designed to be cost-effective. **(Max. 300 words)** Please consider the VfM key concepts in the **Applicant Handbook**.

15. Project management

15.1. Project management

- a. Describe your plan for the project inception phase, focusing on clearly defining the implementation action plan. This should include activities related to MEL, communications, GEDSI, stakeholder engagement, mobilisation, etc. Please also include a brief overview of your operational plan for delivering the project. **(Max. 300 words)**

- b. Describe your project management approach, including the day-to-day project management tools and systems that will be put in place to ensure the success of project delivery. For example: describe how you will resource and organise quarterly reporting, budget and forecasting management, quality report delivery, etc. **(Max. 300 words)**

15.2. Environmental sustainability

Describe the activities and measures in place across your organisation and/or consortium to minimise the environmental impact of project activities. Include details on how you address aspects such as minimising travel, tracking emissions, carbon offsetting, and implementing environmental policies. **(Max. 300 words)**

15.3. Risk management and mitigation

Complete the attached risk register template and submit it with your proposal to document and assess the most significant risks associated with implementing the project and outline plans to manage those risks. Please ensure you use the template available on the Fund's webpage.

Guidance on preparing a risk register can be found in the first tab within the Register template, in addition to a guidance note available on the UK PACT website. Please make sure you include the following as appropriate to your project:

- Delivery risks (events or circumstances that could impact the delivery of activities, deliverables, and outcomes)
- Political risks (i.e., the political context shifts in your country of operation that directly impact the project)
- Fiduciary risks (i.e., misuse or misappropriation of funds, corruption, fraud, conflict of interest etc.)
- Environmental risks (i.e., carbon footprint due to transportation, high energy consumption, etc.)
- Opposed stakeholders (stakeholders or stakeholder groups who would be opposed to your project, reasons why, the level of influence they will have, and how you anticipate they could oppose your project)
- Unintended consequences on women and marginalised groups
- Safeguarding risks (events, activities, circumstances that pose a risk of harm, exploitation or abuse to project personnel or beneficiaries)

16. Signature

Name	
Organisation	
Position	
Date	[Publish Date]
Signature	



The ASEAN-UK GTF (Green Transition Fund) is the UK 's flagship programme to accelerate ASEAN's transition to a clean and climate resilient economy. ASEAN-UK GTF works with ASEAN institutions as well as supports ASEAN Member States and Timor Leste. The programme is delivered through the UK Partnering for Accelerated Climate Transition (UK PACT) mechanism.

For any enquiries, please get in touch via email at aseangtf@ukpact.co.uk