



#InvestEUresearch

# Horizon 2020 Work Programme for Research & Innovation 2018-2020

## Evaluation process

Name: DALIBOR DRLJAČA

Function: Service Facility in support of International  
Cooperation in Research and  
Innovation (communication@ServiceFacility.eu)

Research and  
Innovation

# Overview

1. Warm-up session
2. Evaluation process, criteria and scores
3. Evaluators and Registration as an expert



# 1. Warm-up session



## 1. Warm-up session

What are the benefits of working as an evaluation expert for Horizon 2020 proposals?



## 2. Evaluation process, criteria and scores



## Standard evaluation criteria

There are three evaluation criteria for full proposals:

**1** Excellence

**2** Impact

**3** Quality and efficiency of implementation

The criteria are adapted to each type of action, as specified in the Work Programme

# Overview of the evaluation process



# What else you need to know about the evaluation process

- The **European Commission** organises the evaluation and moderates the process
- **Independent observers** check the functioning and running of the overall process and advise, in their report, on the conduct and fairness of the evaluation sessions and, if necessary, suggest possible improvements
- An **ethics review** takes place for proposals above threshold and considered for funding. Only proposals that comply with the ethical principles and legislation may receive funding



## Evaluation scores

- The maximum overall score is 15 (3x5), unless a weighting is applied
- Generally a pre-defined qualifying score on each criterion and an overall qualifying score needs to be achieved.

### *Standard practice:*



- Qualifying scores may vary
  - according to type of action
  - between the first and second stage proposals in two-stage procedures

# Evaluation scores

Experts score each award criterion on a scale from **0 to 5** (half point scores may be given):

- 0: Proposal fails to address the criterion or cannot be assessed due to missing or incomplete information
- 1: **Poor** – criterion is inadequately addressed or there are serious inherent weaknesses
- 2: **Fair** – proposal broadly addresses the criterion, but there are significant weaknesses
- 3: **Good** – proposal addresses the criterion well, but a number of shortcomings are present
- 4: **Very good** – proposal addresses the criterion very well, but a small number of shortcomings are present
- 5: **Excellent** – proposal successfully addresses all relevant aspects of the criterion. Any shortcomings are minor



## Priority order for proposals with the same score

- a) Proposals that address topics or sub-topics, not otherwise covered by more highly-ranked proposals
- b) Proposals are prioritised according to their score for Excellence. When these scores are equal, priority will be based on scores for Impact. (In the case of Innovation actions this prioritisation will be done in reverse order.)
- c) Size of EU budget allocated to SMEs; gender balance among the personnel named in the proposal who will be primarily responsible
- d) Synergies between projects, or contribution to the objectives of the call or to Horizon 2020

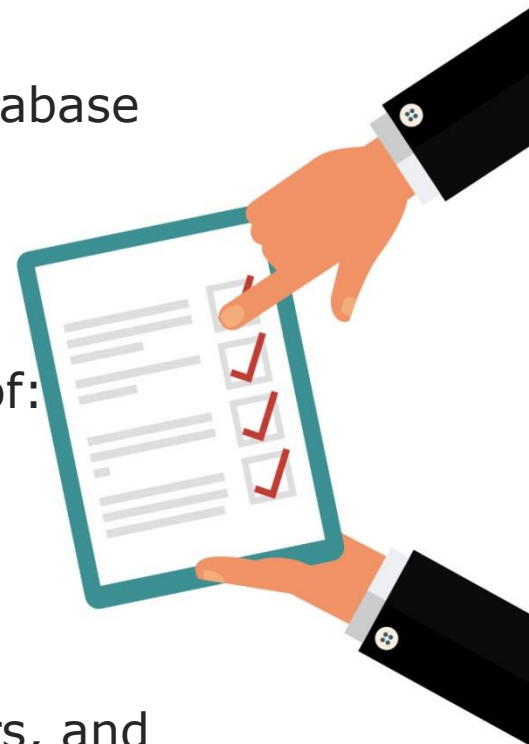


# 3. Evaluators and registration as an expert



## How are the evaluators selected?

- Independent evaluators are selected from the database of experts in the Participant Portal
- Independent experts need a high level of skills, experience and knowledge in the relevant areas
- Additionally a balance will be aimed for in terms of:
  - ✓ Skills, experience and knowledge
  - ✓ Geographical diversity
  - ✓ Gender
  - ✓ where appropriate, the private and public sectors, and
  - ✓ an appropriate turnover of experts from year to year



# The guiding principles for evaluators

## **Independence**

Evaluators evaluate in a personal capacity. They represent neither their employer, nor their country

## **Objectivity**

Evaluators evaluate each proposal as submitted; meaning on its own merit, not its potential if certain changes were to be made

## **Consistency**

Evaluators apply the same standard of judgment to all proposal

## **Impartiality**

Evaluators treat all proposals equally and evaluate them impartially on their merits, irrespective of their origin or the identity of the applicants

## **Accuracy**

Evaluators make their judgment against the official evaluation criteria and the call or topic the proposal addresses only

# Register to become an independent expert!

- Registrations from independent experts from **all over the world** are welcome!
- **You have a chance of being selected as an expert if you:**
  - have high-level of expertise in the relevant fields ([see calls for experts for details](#))
  - can be available for occasional, short-term assignments
  - have no conflicts of interest

REGISTER AS EXPERT

## Go to:

<https://ec.europa.eu/research/participants/portal/desktop/en/experts/index.html>

# Expert Registration Content

You are asked to fill-in information concerning:

- Personal Details
- Contact Details
- Programme Selectio
- Languages
- Education
- Area of Expertise
- Professional Experience





# What else you should know about working as an expert

- **Experts sign a contract**

- Have a look at the Model Contract for experts:

[http://ec.europa.eu/research/participants/data/ref/h2020/experts\\_manual/h2020-experts-mono-contract\\_en.pdf](http://ec.europa.eu/research/participants/data/ref/h2020/experts_manual/h2020-experts-mono-contract_en.pdf)

- **Experts receive a briefing**

- Have a look at the standard briefing:

[http://ec.europa.eu/research/participants/data/support/expert/h2020\\_expert-briefing\\_en.pdf](http://ec.europa.eu/research/participants/data/support/expert/h2020_expert-briefing_en.pdf)

- **Lists of contracted experts are published on an annual basis on the Participant Portal**

- Have a look at the lists:

[http://ec.europa.eu/research/participants/portal/desktop/en/funding/reference\\_docs.html#h2020-expertslists](http://ec.europa.eu/research/participants/portal/desktop/en/funding/reference_docs.html#h2020-expertslists)

- **Daily allowance up to 450 Euro, reimbursement of travel**

# Join Now!

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[www.ec.europa.eu/research](http://www.ec.europa.eu/research)

Participant Portal

<http://ec.europa.eu/research/participants/portal/>

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